

**A hybrid OT-DM model: Support from a morphological conspiracy in Degema**

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This paper supports a hybrid model of Distributed Morphology (DM) termed **OT-DM**, using data from the Nigerian language Degema [ISO: deg]. In OT-DM, the spell-out from the syntactic module is a candidate set which are all potentially subject to DM operations, themselves decomposed into a series of violable OT constraints. OT-DM is superior in accounting for a morphological **conspiracy** in Degema (in the sense of Kisseberth 1970, Prince & Smolensky 2004), involving the distribution of agreement clitics in serial verb constructions. A **double-marked clitic pattern** is found when a prosodically heavy pronoun ( $>1\sigma$ ) or DP appears between the verbs in the serial verb construction. In contrast, a **single-marked clitic pattern** is found when no object or only a light pronoun intervenes, forming a verb cluster. These patterns are in complementary distribution, shown below.

SVC	V <sub>1</sub> _V <sub>2</sub>	DOUBLE-MARKED		SINGLE-MARKED	
<b>INTER- VENERS</b>	<b>Ø</b>	?*~*	CL=[V <sub>1</sub> ]=CL <b>Ø</b> CL=[V <sub>2</sub> ]=CL	√	CL=[V <sub>1</sub> <b>Ø</b> V <sub>2</sub> ]=CL
	<b>PRON<sub>σ</sub></b>	?~*	CL=[V <sub>1</sub> <b>P<sub>σ</sub></b> ]=CL CL=[V <sub>2</sub> ]=CL	√	CL=[V <sub>1</sub> <b>P<sub>σ</sub></b> V <sub>2</sub> ]=CL
	<b>PRON<sub>σσ</sub></b>	√	CL=[V <sub>1</sub> ]=CL <b>P<sub>σσ</sub></b> CL=[V <sub>2</sub> ]=CL	*	CL=[V <sub>1</sub> <b>P<sub>σσ</sub></b> V <sub>2</sub> ]=CL
	<b>DP</b>	√	CL=[V <sub>1</sub> ]=CL <b>DP</b> CL=[V <sub>2</sub> ]=CL	*	CL=[V <sub>1</sub> <b>DP</b> V <sub>2</sub> ]=CL

I present a series of arguments against two competitive alternatives accounting for this complementarity, namely single-marking as the result of (1) **syntactic head movement** of V<sub>2</sub> or (2) **ellipsis** of medial clitics.

Instead, I argue that agreement clitics are inserted via the DM operation **Dissociated Node Insertion (DNI)** and that the single-marked pattern emerges due to the DM operation **Local Dislocation (LD)** which combines separate Morphological Words (MWds) into one Morphological Word / Prosodic Word. In my OT-DM analysis, I attribute both of these patterns to a highly-ranked morphological markedness constraint V=WF\_MWD(INFL) (VERBS ARE INFLECTED), mediated by lower ranked constraints, e.g. DEP-IO(AGR.CL) penalizing DNI and MAP(WD\_TYPE) (TERMINAL NODES MAP TO PROSODIC WORDS) penalizing LD. Further, I automatically generate a set of output candidates which systematically vary along a number of dimensions, and show how a constraint ranking correctly predicts the Degema system. A factorial typology reveals no pathological predictions. Finally, I support the constituency of these verb clusters from evidence from grammatical tone.

This paper dovetails with a growing body of work supporting an OT-DM model (Noyer 1992; Trommer 2001, 2002; Lahne 2010; Tucker 2011; Sande 2017; Foley to appear; Dawson to appear), and directly contrasts with a classic rule-based DM (Embick & Noyer 2001, a.o.) and the modified Rule & Repair model of DM (Arregi & Nevins 2012).

## 1 OVERVIEW<sup>1</sup>

- [1] Support for **Optimality Theoretic Distributed Morphology (OT-DM)**
- [2] **Morphology-in-Parallel Hypothesis (MPH)**: the strongest form of this model is that all morphological operations take place in parallel
- [3] Growing body of work overtly adopts OT-DM (Trommer 2001a, 2001b, 2002; Dawson *in press*; Foley *to appear*; a.o.)
- [4] Evidence from a **morphological conspiracy** in Degema involving distribution of verbal clitics in serial verb constructions

## 2 DISCUSSION OF DM

- [5] **Distributed Morphology (DM)** (Halle & Marantz 1993, 1994,...)
- [6] The core analytic move of DM is that morphology is distributed across several components of Grammar
- [7] Traditional ‘morphemes’ are decomposed into
  - a. In Syntax - Morphosyntactic **feature bundles**, i.e. roots and functional heads from the **Feature Lexicon** (=narrow lexicon – Marantz 1997:204)
  - b. On the PF branch - **Vocabulary Items** from the **Vocabulary** insert phonological information
  - c. On the LF branch - idiosyncratic meaning comes from the **Encyclopedia**
- [8] Tenets of DM
  - a. 1) **Module Order** - syntax precedes ‘morphology’
  - b. 2) **Internal Complexity** - internally complex words result from concatenation of morphosyntactic feature bundles (cf. inferential models e.g. Anderson’s 1992 *A-Morphous Morphology* - discussion Inkelas 2014)
  - c. 3) **Uniform Concatenation** - morphologically complex words are formed via the same operations concatenating words in clauses, namely MERGE (‘syntax-all-the-way-down’ - Bobaljik 2017)

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- d. 4) **Phonology-Free** – syntax lacks the presence of and sensitivity to phonological features
- e. 5) **Feature Realization** - vocabulary items (VIs) expone syntactic feature bundles and thereby realize syntactic features rather than introduce them (**Late Insertion** in DM terminology)
- f. 6) **Bundle Manipulation** - the output of syntax able to be manipulated via morphological operations e.g. adding or deleting features
- g. 7) **Derivation Architecture** - in standard DM, post-syntactic operations apply serially, formalized as ordered rules which can feed or bleed

- [9] Post-syntax (adapted from Harley’s 2014:228 DM schema and Broekhuis & Vogel’s 2013:10 interface schema)

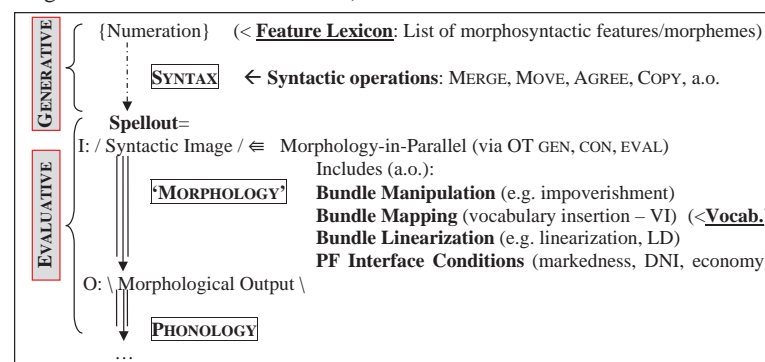


Figure 1: OT-DM model (PF branch only)

- [10] Mapping between Syntax and Phonology
  - a. What does Spellout spell out? ← Claim: A ‘Syntactic Image’ (~ ‘Mold’ ~ ‘Impression’ ~ ‘Cast’ ~ ...)
  - b. What happens? ← Claim: Image mapped to Morph. Output
  - c. How many parts? ← Claim: Syn. → Morph. → Phon.
  - d. How many times? ← I make no claim (see Phase literature)
- [11] Ramifications for modular theory:
  - a. **Syntax**: generative - contains only syntactic operations (e.g. MERGE)
  - b. **Post-syntax**: strictly evaluative - consists of familiar OT components (EVAL, GEN, CON, etc.).

### 3 CONSPIRACIES

- [12] Concept of a **conspiracy** - Kisseberth (1970) using data from Yokuts [yok] (=Yowlumne/Yawelmani; California, USA – Newman 1944)
- Distinct inputs/outputs/environments showing surface structure convergence or avoidance
- [13] Conspiracy of phonological rules in the Yokuts avoiding \*CC
- i-Epenthesis:  $\emptyset \rightarrow i / C\_CC$
  - C-Deletion:  $C \rightarrow \emptyset / CC+\_$
  - Final V-Deletion:  $V \rightarrow \emptyset / VC\_#$  ( $^x... / VCC\_#$ )
- [14] Catalysts in the development of parallel constraint-based evaluation in **Optimality Theory** (Prince & Smolensky 2004 [1993]; Kager 1999:Sec. 2.1.1.2; McCarthy 2002:Sec.3.1.4.3, 2008:1-12; Bermúdez-Otero & Korjars 2006:720; Kisseberth 2011; a.o.)
- #### 3.1 MORPHOLOGICAL CONSPIRACY
- [15] **Morphological conspiracy** –convergence or avoidance involving **morphological** inputs, outputs, and environments
- [16] Post-syntactic morphological repairs for \*BARE-V in Tiwa [lax] (Dawson in press)
- Verb Cliticization: Complex phonological word formation*  
 $V \text{ Aux Foc} \rightarrow (V=\text{Aux}=\text{Foc})$   
 $(\omega \text{ lí}=\text{thái}-\text{do}=\text{sê})$   
 lí      thái-do      =sê  
 go      AUX-IPFV      =FOC  
 ‘he is *still* going’
  - Focus Drift: Cliticization of focus onto a verb*  
 $V \text{ Aux Foc} \rightarrow (V=\text{Foc}) (\text{Aux})$   
 $(\omega \text{ lí}=\text{sê}) (\omega \text{ thái}-\text{do})$   
 lí      =sê      thái-do  
 go      =FOC      AUX-IPFV  
 ‘he is *still* going’
- [17] T-NONINITIALITY (Arregi & Nevins 2012: 276) – a number of surface patterns across Basque dialects conspire to avoid T° auxiliary initially
- Cliticization of an absolutive marker (*Absolutive Cliticization*)
  - Metathesis and doubling of an ergative marker whose application depends on the dialect (*Ergative Metathesis and Doubling*)

- Insertion of an epenthetic dummy morpheme before T° (*L-Support*)

#### 3.2 DM RESPONSE

- [18] **Rules & Constraints DM (R&C DM)** – employ both rules and constraints
- [19] Ordered morphological modules (Arregi & Nevins 2012:4)
- [...syntactic operations...]
    - 1) Exponence Conversion (e.g. agree-copy, fission)
    - 2) Feature Markedness (e.g. participant dissimilation)
    - 3) Morphological Concord (e.g. complementizer agreement)
    - 4) Linearization
    - 5) Linear Operations (e.g. clitic metathesis/doubling)
    - 6) Vocabulary Insertion
  - [...phonological operations...]
- [20] Directly parallel to the events in pre-OT phonology, such as Paradis’ (1987) *Constraints and Repair Strategies* (for further discussion see, Prince & Smolensky 2004 [1993]: 247-252 and Kiparsky 2017:396)
- [21] **Optimality Theoretic DM (OT-DM)** – employ only constraints, thereby avoids the duplication problem
- [22] Limited cross-pollination between the OT and DM frameworks
- Remarkable given emerged in the same (generative) linguistic period
  - Literature growing (Bonet 1994; Grimshaw 1997; Gerlach 1998; Trommer 2001a, 2001b, 2002; Don & Blom 2006; Opitz 2008; Haugen 2008, 2011; Wolf 2008; Lahne 2010; Tucker 2011; Sande 2017; Brown 2017; Dawson in press; Foley to appear – see also Wolf 2008 for early history)
- #### 4 CORE DATA
- [23] Degema [deg] - Benue-Congo language of the Niger-Congo phylum spoken in southern Nigeria
- [24] Data for this paper comes from the extensive publications on Degema by native speaker-linguist Ethelbert E. Kari, and my own data collection<sup>2</sup>

<sup>2</sup> Data for this paper comes from the extensive publications on Degema by native speaker-linguist Ethelbert E. Kari (Kari 1997, 2002a, 2002b, 2002c, 2002d, 2003a, 2003b, 2004, 2005a, 2005b, 2006, 2008, 2015), as well as ongoing joint collaboration. Additional consultation with a native Degema speaker was done by the author, summer 2017 in Port Harcourt, Nigeria. Degema has two dialects: Usokun and Atala (also called ‘Degema Town’). The current paper is based on the Usokun variety

- [25] It is a head-initial language, and maintains a fairly strict SVO word order
- [26] Auxiliaries precede the verb and adjuncts follow the object

**4.1 CLITICS**

Infl proclitics	1 <sup>st</sup> Person		2 <sup>nd</sup>		3 <sup>rd</sup>			
	Set 1	Set 2	Set 1	Set 2	Set 1	Set 2	Set 1	Set 2
SG	<i>me</i>	<i>mi</i>	<i>mu</i>	<i>u</i>	<i>mo</i>		<i>o</i>	
PL	<i>me</i>	<i>e</i>	<i>ma</i>	<i>a</i>	+H	-H	+H	-H
					<i>me</i>	<i>mi</i>	<i>e</i>	<i>i</i>

Table 1: Degema subject agreement proclitics

- [27] Proclitic sets
  - a. Set 1 are used in positive polarity, non-past tense, begin with /m/
  - b. Set 2 appear elsewhere and are vowel initial other than first person singular

- [28] Aspectual enclitics
  - a. Factative aspect  $\acute{o}=\bar{v}n$  FAC express perfective aspect / past tense with eventive verbs, and present tense with stative verbs
  - b. Perfect aspect marker is  $\acute{o}=t\bar{e}$  PRF

- [29] Degema inflectional clitics<sup>3</sup>
  - a. Ohoso  $\acute{o}=\acute{s}\acute{a}=\mathbf{n}$   $\bar{e}n\acute{a}m$  Cf. \*Ohoso  $\emptyset$   $\acute{s}\acute{a}=\mathbf{n}$   $\bar{e}n\acute{a}m$  /  
Ohoso 3SG.SET2=shoot=FAC animal \*Ohoso  $\acute{o}=\acute{s}\acute{a}$   $\emptyset$   $\bar{e}n\acute{a}m$   
'Ohoso shot an animal' (Kari 2004: 270)
  - b.  $\mathbf{m}\acute{i}=\acute{d}\acute{e}=\mathbf{t}\acute{e}$   $\acute{o}s\acute{a}m\acute{a}$  Cf. \* $\emptyset$   $\acute{d}\acute{e}=\mathbf{t}\acute{e}$   $\acute{o}s\acute{a}m\acute{a}$  /  
1SG.SET2=buy=PRF dress \* $\mathbf{m}\acute{i}=\acute{d}\acute{e}$   $\emptyset$   $\acute{o}s\acute{a}m\acute{a}$   
'I have bought a dress' (Kari 2004: 293)

- [30] Surface position of enclitics with monosyllabic object pronoun
  - a. Osoabo  $\acute{o}=\acute{k}\acute{o}t\acute{u}=\mathbf{n}$   $\acute{o}y\acute{i}$  Cf. \*Osoabo  $\acute{o}=\acute{k}\acute{o}t\acute{u}$   $\acute{o}y\acute{i}=\mathbf{n}$   
Osoabo 3SG.SET2=call=FAC him/her  
'Osoabo called him/her' (Kari 2004: 113)

only. Information on the Atala dialect is found in Offah (2000), which reveals a different distribution of clitics (see especially pps. 7,30,33,46-48,57,66-70,79; email me for a copy).

<sup>3</sup> Degema orthography is consistent with the IPA, with the following language-specific conventions: <b> = /b/, <d̥> = /d̥/, <nw> = /ŋw/, <ny> = /ɲ/, <y> = /j/, <ñ> = /ɲ/, and <v> = /β/. A dot under a vowel indicates retracted tongue root [-ATR], and no dot under the vowel indicates advanced tongue root [+ATR]. ATR is only marked on the first vowel of the word, though ATR harmony applies to all vowels within the word. A high tone is indicated by an acute accent <V̄>, a downstepped high is indicated by a macron <V̄̄>, and a low tone is not marked.

- b.  $\acute{o}=\acute{k}\acute{o}t\acute{u}$   $w\acute{o}=\bar{\mathbf{o}}\mathbf{n}$  Cf. \* $\acute{o}=\acute{k}\acute{o}t\acute{u}=\mathbf{n}$   $w\acute{o}$   
3SG.SET2=call you=FAC  
'(s)he called you' (Kari 2004: 276)
- c.  $\acute{o}=\acute{g}\acute{i}d\acute{i}$   $\bar{b}\acute{a}w=\mathbf{t}\bar{e}$  Cf. \* $\acute{o}=\acute{g}\acute{i}d\acute{i}=\mathbf{t}\bar{e}$   $\bar{b}\acute{a}w$   
3SG.SET2=look.for them=PRF  
'(s)he has looked for them' (Kari 2004: 282)

	1	2	3	XP {NP/CP/PP/etc.}
SG	$\bar{m}\acute{e}\bar{e}/\bar{m}\acute{e}\bar{e}$ V pron=CL	$w\acute{o}\bar{o}$ V pron=CL	$\acute{o}y\acute{i}$ V=CL pron	V=CL XP
PL	$\bar{e}n\acute{i}$ V=CL pron	$\bar{m}\acute{a}\bar{a}n\acute{y}/\bar{m}\acute{a}\bar{a}n\acute{y}$ V pron=CL	$\bar{b}\acute{a}\bar{a}w/\bar{b}\acute{a}\bar{a}w$ V pron=CL	

Table 2: Attachment of enclitic with object pronouns

**4.2 SVCs**

- [31] **Serial verb constructions (SVCs)** - a sequence of more than one verb in a single clause which share verbal arguments and functional categories

- [32] Inflectional clitics in SVCs - two patterns in complementary distribution

- a. **Double-marking pattern** - each verb in series is marked with an identical proclitic and enclitic respectively
- b. **Single-marking pattern** - the first verb is marked with a proclitic while the last verb is marked with an enclitic ( **'bookending' pattern** )

- [33] **Clitic patterns**

- a. **Double-marking SVC pattern**  
 $\acute{o}=\bar{[s\acute{o}m]}=\mathbf{n}$   $\acute{u}s\acute{i}$   $\acute{o}=\bar{[t\acute{u}l]}=\mathbf{n}$   $w\acute{o}=\bar{\mathbf{o}}\mathbf{n}$   
3SG.SET2=be.good=FAC beauty 3SG.SET2=reach you=FAC  
'He is as handsome as you.' (Kari 2004:157)
- b. **Single-marking SVC pattern**  
Ohoso  $\acute{o}=\bar{[s\acute{o}m]}=\mathbf{n}$   $\acute{u}s\acute{i}$   $\bar{[t\acute{u}l]}=\mathbf{n}$   $\acute{o}y\acute{i}$   
Ohoso 3SG.SET2=be.good reach=FAC him  
'Ohoso is as handsome as him.' (Kari 2004:156)

- [34]

- a. **Double-marking pattern with bisyllabic pronoun**  
 $\mathbf{m}\acute{i}=\bar{[d\acute{u}w]}=\mathbf{n}$   $\acute{o}y\acute{i}$   $\mathbf{m}\acute{i}=\bar{[t\acute{a}]}=\bar{\mathbf{a}}\mathbf{n}$   
1SG.SET2=follow=FAC her/him 1SG.SET2=go=FAC  
'I went with her/him' (Kari 2004: 201)



- [47] **Local Dislocation (LD)** converts two linearly adjacent constituents (2 MWds) into a single constituent (1 MWd with 2 SbWds) (Embick & Noyer 2001: 561)
- a.  $(\alpha)*(\beta) \rightarrow (\alpha+\beta \sim \beta+\alpha)$
- [48] Morphemes which would otherwise be expected to be independent words by other criteria (i.e. expone syntactic terminal heads) are converted into having an affixal relationship
- [49] Local dislocation in Degema
- a.  $(/asp/)*(/V/) \rightarrow (/V+asp/)$   $(/=n/)*(/som/) \rightarrow (/som+n/)$  [Ex. [33]a]
- b.  $(/V/)*(/D_{\sigma}/) \rightarrow (/V+D_{\sigma}/)$   $(/tul/)*(/w\phi/) \rightarrow (/tul+w\phi/)$  [Ex. [33]a]
- c.  $(/V_1/)*(/V_2/) \rightarrow (/V_1+V_2/)$   $(/som/)*(/tul/) \rightarrow (/som+tul/)$  [Ex. [33]b]
- [50] Iterative local dislocation in Degema
- a.  $(/asp/)*(/V/)*(/D_{\sigma}/) \rightarrow (/V+/D_{\sigma}/+asp/)$   
 $(/=te/)*(/gidi/)*(/baw/) \rightarrow (/gidi+/baw/+/te/)$  [Ex. [30]c]
- 5.4 CONSTITUENCY SUPPORTED FROM TONE**
- [51] Tone on verbs is predictable from grammatical context
- [52] With these aspects, grammatical /H/ tone is assigned between clitics
- a.  $m_i=(\mathbf{d}\acute{e})=n$   $\acute{a}gada$   
 1SG.SET2=**buy**=FAC chair  
 ‘I bought a chair’
- b. Tatane  $o=(\mathbf{k}\acute{o}t\acute{u})=t\acute{e}$   $\acute{e}ni$   $\phi=(\mathbf{k}p\acute{e}r\acute{i})=t\bar{e}$   $\acute{í}n\acute{u}m$   
 Tatane 3SG.SET2=**call**=PRF us 3SG.SET2=**tell**=PRF something  
 ‘Tatane has called us and told (us) something’ (Kari 2003a: 285)
- c.  $o=(\mathbf{k}\acute{o}t\acute{u}$   $w\phi)=\bar{o}n$   
 3SG.SET2=**call** **you**=FAC  
 ‘(s)he called you’ (Kari 2004: 276)
- [53] Grammatical /H/ tone marking between clitics **in a SVC**
- a. Breno  $o=(\mathbf{d}\acute{u}w$   $m\acute{e}$   $t\acute{a})=\bar{a}n$   
 Breno 3SG.SET2=**follow** **me** **go**=FAC  
 ‘Breno went with me’ (Kari 2004: 115)
- b.  $\phi=(\mathbf{t}\acute{a}$   $\mathbf{d}\acute{e})=n$   $isen$   
 3SG.SET2=**go** **buy**=FAC fish  
 ‘(s)he went and bought fish’ (Kari 2004: 311)

- c. Ohoso  $\phi=(\mathbf{t}\acute{a}$   $\mathbf{d}\acute{e}$   $v\phi$   $y\acute{i}$   $k\acute{i}y\acute{e})=n$   $\acute{o}yi$   
 Ohoso 3SG.SET2=**go** **buy** **take** **come** **give**=FAC her/him  
 ‘Ohoso went and bought (s/t) and brought (it) to her/her’ (Kari 2004: 121)
- [54] Gram. /H/ tone spread across toneless constituent ( $V_1+V_2+V_3$ )  
 $m\acute{o}=(v\acute{o}n$   $v\phi$   $y\acute{o}k\acute{u}r\acute{o}$ ) [...  $j\acute{o}k\acute{o}r\acute{o}$ ] (cf. \*... $y\acute{o}k\acute{u}r\acute{o}$  \* $[j\acute{o}k\acute{o}r\acute{o}]$ )  
 3SG.SET1=**take** take **leave**  
 ‘(s)he will take (it) and leave’, ‘(s)he will leave with (it)’ [ohk\_20170806]
- [55] Gram. /H/ tone spread across toneless constituent ( $V_1+V_2$ ) - excludes following toneless object, here /agada/ ‘chair’  
 Bréno  $m\acute{o}=(t\acute{a}$   $g\acute{e}n$ ) ( $\acute{a}gada$ ) [...  $\acute{a}g\acute{a}d\acute{a}$ ] (cf. \*... $\acute{a}g\acute{a}d\acute{a}$ )  
 Breno 3SG.SET1=**go** look.at **chair**  
 ‘Breno will look at a chair’ [ohk\_20170806]
- [56] Grammatical /L/ tone expressing negation  
 $\acute{o}=(\mathbf{d}eri$   $me)$   $k\acute{a}bul\acute{o}$   $\acute{o}=(\mathbf{meme}$   $d\acute{i})$   $\acute{i}d\acute{i}y\acute{o}m$   $y\phi$   
 NEG\3SG.SET2=**know** **me** because NEG\3SG=**agree** eat food the  
 ‘(s)he refused to eat the food b/c (s)he doesn’t know me’ (Kari 2004: 45)
- [57] Grammatical /L/ tone scopes over second verb *only* if linearly adjacent  
 Osoabo  $\acute{o}=(\mathbf{von})$   $\acute{e}lege$   $\phi=(\mathbf{f}iy\acute{a})$   
 Osoabo NEG\3SG.SET2=**take** knife 3SG.SET2=**cut**  
 ‘Osoabo did not use a knife to cut something’ (Kari 2004: 111)  
 [Not ‘Osoabo cut something but didn’t use a knife (to do it)’]
- 5.5 PROBLEMS WITH RULES & CONSTRAINTS DM**
- [58] Claimed or assumed that feature copying/dissociated node insertion takes place before vocabulary insertion (VI), which takes place before local dislocation (Embick & Noyer 2001, 2007; Embick 2007b)
- a. Common evidence justifying this ordering involves bleeding and feeding
- [59] Embick & Noyer (2001) - DM order of operations  
 DNI > VI > LD
- |            |  |
|------------|--|
| Syntax     | $[_{ASP} ASP^{\circ} [_{vIP} v_1^{\circ}+V_1^{\circ} [ DP \check{V}_+^{\circ} [_{v2P} v_2^{\circ}+V_2^{\circ} [ \check{V}_2^{\circ} ] ] ] ] ] ]$ |
| [DNI]      | $(asp^{\circ}) (agr_{sbi}+v_1^{\circ}+V_1^{\circ}) (DP) (agr_{sbi}+v_2^{\circ}+V_2^{\circ}+agr_{asp})$   |
| VI         | $(n)*(mi+d\acute{u}w)*(oyi)*(m\acute{i}+ta+n)$   |
| LD         | $(mi+d\acute{u}w+n)*(oyi)*(m\acute{i}+ta+n)$   |
| Predicted: | $mi=d\acute{u}w=n$ $oyi$ $m\acute{i}=ta=n$ © Attested: $mi=d\acute{u}w=n$ $oyi$ $m\acute{i}=ta=n$  |
- Table 4: **Correct** prediction in double-marking context with intervening DP object



[71] Emergence of DM operations LD and DNI

a. **DNI:** Insertion of morphological nodes not present in input

$$\begin{bmatrix} V=WF\_MWd(asp) \\ V=WF\_MWd(agr\_sbj) \end{bmatrix} \gg \begin{bmatrix} Dep-IO(Node) \\ *agr_{asp} \\ *agr_{sbj} \end{bmatrix}$$

b. **LD (dislocating):** Dislocating MWd/SbWd  
 [Align-/asp/-R] >> [LinearityMap-IO:Fnc]

c. **LD (typing):** MWd to SbWd morphological type-shifting  
 $\begin{bmatrix} MWd=PrWd \\ V=WF\_MWd(asp) \end{bmatrix} \gg [Map(Wd\_Type)]$

		C-S 1		C-S 2	C-S 3
I:	/ V <sub>1</sub> V <sub>2</sub> /	MARK SET 1	MAP SET 1	FAITH	MAP SET 2
O <sub>1</sub> :	None V <sub>1</sub> V <sub>2</sub>	1 !			
O <sub>2</sub> :	☞ <b>Single</b> cl=[V <sub>1</sub> V <sub>2</sub> ]=cl			1	4
O <sub>3</sub> :	Double cl=[V <sub>1</sub> ]=cl cl=[V <sub>2</sub> ]=cl			3 !	2
I:	/ V <sub>1</sub> D <sub>σ</sub> V <sub>2</sub> /	MARK SET 1	MAP SET 1	FAITH	MAP SET 2
O <sub>1</sub> :	None V <sub>1</sub> D <sub>σ</sub> V <sub>2</sub>	1 !			
O <sub>2</sub> :	☞ <b>Single</b> cl=[V <sub>1</sub> D <sub>σ</sub> V <sub>2</sub> ]=cl			1	6
O <sub>3</sub> :	Double cl=[V <sub>1</sub> D <sub>σ</sub> ]=cl cl=[V <sub>2</sub> ]=cl			3 !	4
I:	/ V <sub>1</sub> D <sub>σσ</sub> V <sub>2</sub> /	MARK SET 1	MAP SET 1	FAITH	MAP SET 2
O <sub>1</sub> :	None V <sub>1</sub> D <sub>σσ</sub> V <sub>2</sub>	1 !			
O <sub>2</sub> :	Single 1 cl=[V <sub>1</sub> D <sub>σσ</sub> V <sub>2</sub> ]=cl	1 !		1	6
O <sub>3</sub> :	Single 2 cl=[V <sub>1</sub> V <sub>2</sub> ]=cl D <sub>σσ</sub>		2 !	1	4
O <sub>4</sub> :	☞ <b>Double</b> cl=[V <sub>1</sub> ]=cl D <sub>σσ</sub> cl=[V <sub>2</sub> ]=cl			3	2

Tableau 1: Emergence of single and double-marking patterns

[72] /V D<sub>σ</sub> V/ (n=206) Tableau 2 on p. 14

[73] /V D<sub>σσ</sub> V/ (n=214) Tableau 3 on p. 15

[74] An innovation - **Morphological Labeling** (MWd)<sub>(M)</sub>

a. MWds are labeled with a category reflecting a prosodically strong morpheme within that morphological word e.g. (/V<sub>1</sub>)<sub>(V)</sub>, (/D<sub>σσ</sub>)<sub>(D)</sub>, etc.

[75] Sufficiently local verbs incorporate and form a single MWd

a. A morphological compound ((/V<sub>1</sub>)<sub>SbWd</sub>+(/V<sub>2</sub>)<sub>SbWd</sub>)<sub>MWd(V)</sub>

[76] Morphological labeling counteracts potential ‘mass local dislocation’

[77] Cf. /V D<sub>σσ</sub> V/ type does not become <sup>x</sup>((/V<sub>1</sub>)<sub>SbWd</sub>+(/D<sub>σσ</sub>)<sub>SbWd</sub>+(/V<sub>2</sub>)<sub>SbWd</sub>)<sub>MWd(V)</sub>

a. Conflict with the incorporated /D/ labeling this MWd with a label {D}

	CONSTRAINT STRATUM I				C-S 2			C-S 3		
	LINEARITYMAP-IO:LEX	ALIGN-/ASP/-R	ALIGN-/AGR <sub>Sb</sub> /-L	{V}>{D}	*COMPLEXMWd <sub>{LABEL}</sub>	MWd <sub>{LABEL}</sub>	MWd=PRWD	V1>V2	V=WF_MWd(ASP)	V=WF_MWd(AGR <sub>Sb</sub> )
Input:										
1										
2										
3										
...										
16										
...										
34										
...										
37										
...										
72										
73										
...										
95										
96										
...										
206										

Tableau 2: /V D<sub>σ</sub> V/ input type (Condensed tableau)



Input:	CONSTRAINT STRATUM I										C-S2		C-S3
	LINEARITYMAP-IO:LEX	ALIGN-/ASP-/R	ALIGN-/AGR <sub>SBj</sub> /-L	{V}>{D}	*COMPLEXMWD <sub>(LABEL)</sub>	MWD <sub>(LABEL)</sub>	MWD=PRWD	V1>V2	V=WF_MWD(ASP)	V=WF_MWD(AGR <sub>SBj</sub> )	LINEARITYMAP-IO:FNC	MAP(WD_TYPE)	
/ ASP V D <sub>σ</sub> V /													
[asp asp <sup>o</sup> [DP V <sub>1</sub> <sup>o</sup> + V <sub>1</sub> <sup>o</sup> [ DP V <sub>2</sub> <sup>o</sup> [asp V <sub>2</sub> <sup>o</sup> + V <sub>2</sub> <sup>o</sup> [ V <sub>2</sub> <sup>o</sup> ] ] ] ] ]													
(/agr <sub>sbj</sub> 1/+/V1/+/asp/)(V1) * (D <sub>σ</sub> /ID) * (/agr <sub>sbj</sub> 2/+/V2/+/agr <sub>asp</sub> 1/)(V1)													
<b>DOUBLE MARKING</b>													
1 (/agr <sub>sbj</sub> 1/+/V1/+/V2/+/asp/)(V1) * (D <sub>σ</sub> /ID)	2!											1	2
3 (/agr <sub>sbj</sub> 1/+/asp/+/V1/)(V1) * (D <sub>σ</sub> /ID) * (/agr <sub>sbj</sub> 2/+/V2/+/agr <sub>asp</sub> 1/)(V1)		1!										1	2
...		1!											
[Cand 4-9]													
10 (/agr <sub>sbj</sub> 1/+/V1/+/agr <sub>asp</sub> 1/+/V2/+/asp/+/agr <sub>asp</sub> 2/)(V1) * (D <sub>σ</sub> /ID)	2!											1	2
11 (V1/+/agr <sub>sbj</sub> 1/+/V2/+/asp/)(V1) * (D <sub>σ</sub> /ID)												1	2
...													
[Cand 12-21]													
22 (/agr <sub>sbj</sub> 1/+/V1/+/agr <sub>asp</sub> 1/+/agr <sub>sbj</sub> 2/+/V2/+/asp/+/agr <sub>asp</sub> 2/)(V1) * (D <sub>σ</sub> /ID)		1!	2	2								2	2
23 (/agr <sub>sbj</sub> 1/+/V1/+/D <sub>σ</sub> /+/V2/+/asp/)(V1)(D)(Asp)					1!							1	3
...					1!							1	3
[Cand 24-25]													
26 (/agr <sub>sbj</sub> 1/+/V1/+/D <sub>σ</sub> /+/V2/+/asp/)(V1)						1!						1	3
...						1!							
[Cand 27-61]													
62 (/agr <sub>sbj</sub> 1/+/V1/+/D <sub>σ</sub> /+/agr <sub>sbj</sub> 2/+/V2/+/asp/)(ID)						2!	1	1				2	3
(/asp/)(Asp) * (/agr <sub>sbj</sub> 1/+/V1/+/agr <sub>asp</sub> 1/)(V1) * (D <sub>σ</sub> /ID) * (/agr <sub>sbj</sub> 2/+/V2/+/agr <sub>asp</sub> 2/)(V1)									1!			4	2
...									1!				
[Cand 64-75]													
76 (/agr <sub>sbj</sub> 1/+/V1/+/D <sub>σ</sub> /+/agr <sub>asp</sub> 1/+/D <sub>σ</sub> /+/V2/+/agr <sub>asp</sub> 2/)(V1)									1!	1		4	2
77 (/agr <sub>sbj</sub> 1/+/V1/+/asp/)(V1) * (D <sub>σ</sub> /ID) * (/agr <sub>sbj</sub> 2/+/V2/)(V1)									1!			2	2
...									1!			2	1
[Cand 78-100]													
101 (/asp/)(Asp) * (/agr <sub>sbj</sub> 1/+/V1/+/D <sub>σ</sub> /)(V1) * (/agr <sub>sbj</sub> 2/+/V2/)(V1)													
102 (/agr <sub>sbj</sub> 1/+/V1/+/asp/)(V1) * (D <sub>σ</sub> /ID) * (V2/+/agr <sub>asp</sub> 1/)(V1)									2!	1		2	1
...												2	1
[Cand 103-213]													
...									1!				
214 (/asp/)(Asp) * (V1/+/D <sub>σ</sub> /)(V1) * (V2/)(V1)									2!	2		1	1

Tableau 3: /V D<sub>σ</sub> V/ input type (Condensed tableau)

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- [78] Support from Lenakel secondary stress (Lynch 1974, 1978; Smith 2011)
- a. **Nouns:** secondary stress assigned R→L from primary-stress
    - i. / nim<sup>w</sup>akilakil / [ ni.m<sup>w</sup>ɔ̃.gə.lá.gəl ]  
‘beach’ (Lynch 1978:19)
    - ii. / kam-lomhanteni / [ kam.lò.ɲan.dé.ni ]  
‘for Lomhanteni’ (Lynch 1974:83)
    - iii. / kam-titoŋa / [ kàm.d̥i.d̥ó.ŋa ]  
‘for Titoŋa’ (Lynch 1974:183)
  - b. **Verbs:** secondary stress assigned L→R from initial syllable
    - i. / n-im-ausito / [ nì.maw.sí.do ]  
‘you (sg.) told a story’ (Lynch 1974:66)
    - ii. / n-im-ai-ausito / [ nì.ma.yu.sí.do ]  
‘you (pl.) told a story’ (Lynch 1974:66)
    - iii. / t-n-ak-am-ar-olkeikei / [ t̥i.na.gà.ma.řɔl.géy.gey ]  
‘you (pl.) told a story’ (Lynch 1978:19) Against two alternatives

**6.3 AGAINST AN ALTERNATIVE SYNTACTIC MOVEMENT ANALYSIS**

- [79] If single-marking were the result of syntactic head movement, movement of the lower V2 head upwards would be triggered by a feature of a higher fnc head
- [80] By default, when the syntactic structural condition is met, movement takes place
- [81] The presence or absence of an intervening object in a specifier position is orthogonal to the presence of a strong feature on the functional head
- [82] This therefore predicts uniform double or single marking, contrary to fact
- [83] **Phonologically null objects between verbs result in single-marking**
- [84] Q - In situ – **Double**-marking pattern  
 mi=dúw=n                      óvo                      mɨ=tá=an ?  
 1SG.SET2=follow=FAC      **who**                      1SG.SET2=go=FAC  
 ‘I went with **who**?’ (E.E. Kari p.c., 2015 Aug 24)
- [85] Q - Ex situ – **Single**-marking pattern  
 ovó<sub>i</sub>      nù      mi=dúw                      t<sub>i</sub>                      tá=ān ?                      [Cf. Ungram. \*Ovó<sub>i</sub> nù  
**who**      that 1SG.SET2=follow      **who**      go=FAC                      mi=dúūw t<sub>i</sub> mɨ=tá=ān?]  
 ‘**Who** did I go with?’

- [86] **Single-marking under focus via clefting**  
 kú **óyí**<sub>i</sub> nù mi=dúw **t<sub>i</sub>** tá=ān  
 not **her/him** that 1SG.SET2=follow **her/him** go=FAC  
 ‘It was not **her/him** that I went with’ (E.E. Kari p.c., 2015.10.24)
- [87] **Single-marking under relativization**  
**owéy<sub>i</sub>** nù mi=dúw **t<sub>i</sub>** tá=tē [Cf. Ungram. \*owéy<sub>i</sub> nù  
**person** that 1SG.SET2=follow **person** go=PRF mi=dúw=tē **t<sub>i</sub>** **m<sub>i</sub>**=tá=tē]  
 ‘the person whom I have gone with’ (E.E. Kari p.c. 2015.10.24)
- [88] **Single-marking under object pro-drop (indicated by ∅)**  
 Ohoso  $\varnothing$ =tá **dé** **∅** v $\varnothing$  **∅** yí kiyé=n **óyí**  
 Ohoso 3SG.SET2=go buy **∅** take **∅** come give=FAC her/him  
 ‘Ohoso went and bought (something) and brought (it) to her/him.’ (Kari 2004)
- [89] Particularly expected under a *Copy Theory of Movement* (Nunes 1995), where ‘traces’ are simply lower copies of moved constituents, and therefore present in the syntax and only later deleted post-syntactically
- [90] **Unmotivated ‘blocking’ of head movement by an overt object**
- a. Grammatical  $cl=V$   $O$   $cl=V$   
 Jzakume  $\varnothing$ =tam **ídíyom**  $\varnothing$ =**dóny**  
 Jzakume NEG\3SG.SET1=chew food 3SG=swallow  
 ‘Jzakume did not chew food and swallow’ (Kari 2003a: 278)
- b. Ungrammatical \* $cl=V+V_i$   $O$   $t_i$   
 \*Jzakume  $\varnothing$ =tam+**dóny<sub>i</sub>** **ídíyom** **t<sub>i</sub>**  
 Jzakume NEG\3SG.SET1=chew+swallow food **swallow**  
*Intended:* ‘Jzakume did not chew food and swallow’
- 6.4 AGAINST AN ALTERNATIVE ELLIPSIS ANALYSIS**
- [91] *Deletion-under-identity (DUI) alternative* - a type of **ellipsis**
- |                         |  |   |
|-------------------------|--|---|
|                         | Single-marking pattern                                       | Double-marking pattern  |
| Uniform clitic marking  | $agr_{s_{bj}}=V_1=asp$ <b>∅</b> $agr_{s_{bj}}=V_2=agr_{asp}$ | $agr_{s_{bj}}=V_1=asp$ <b>DP</b> $agr_{s_{bj}}=V_2=agr_{asp}$ |
| Deletion-under-identity | $agr_{s_{bj}}=V_1=asp$ <b>∅</b> $agr_{s_{bj}}=V_2=agr_{asp}$ | $agr_{s_{bj}}=V_1=asp$ <b>DP</b> $agr_{s_{bj}}=V_2=agr_{asp}$ |
| Surface pattern         | $agr_{s_{bj}}=V_1$ $V_2=agr_{asp}$                           | $agr_{s_{bj}}=V_1=asp$ <b>DP</b> $agr_{s_{bj}}=V_2=agr_{asp}$ |
- [92] Lack of DUI in conjoined clauses - Double-marking pattern obligatory
- a.  $[V_1]$  &  $[V_2]$   
 Ivioso  $\varnothing$ =kótú **mé=ēn**  $\varnothing$ =kpéri=n **īnúm**  
 Ivioso 3SG.SET2=call me=FAC 3SG.SET2=tell=FAC something  
 ‘Ivioso called me and told (me) something’ (Kari 2003a:274)
- b.  $[V_1]$  &  $[aux V_2]$   
 Tatane  $\varnothing$ =kpéeny **q=kíri** wáāy  
 Tatane 3SG.SET2=wash\FAC 3SG.SET2=also.AUX spread\FAC  
 ‘Tatane washed and also spread (something)’  
 cf. \*... $\varnothing$ =kpény **∅** kírí wáāy (E.E. Kari p.c., 2015 Oct 24)
- c.  $[V_1]$  but  $[V_2]$   
 $\varnothing$ =kú **dí bāaw** **dọ**  $\varnothing$ =rékéréké **dí=īn**  
 3SG.SET2=did.AUX eat them\FAC **but** 3SG.SET2 be.slow eat=FAC  
 ‘She did eat them but she ate them rather slowly’  
 cf. \* $\varnothing$ =kú **dí bāaw** **dọ** **∅** rékéréké **dí=īn**  
 cf. \* $\varnothing$ =kú **dí bāw** **∅** **dọ**  $\varnothing$ =rékéréké **dí=īn** (E.E. Kari p.c., 2015 Dec 09)
- [93]
- a. ...*Banú Ipokuma, Obonogina  $\varnothing$ =vón=n éwéey nōonw  $\varnothing$ =wála péł Édá Sombreiro  $\varnothing$ =dá réré fún dési=īn  $\varnothing$ =tá jzá=n m’úlúgbó-éjzi útóm isen gbódia, oñāñiná kúna, nù inám sáa*
- b. ‘...At Ipokuma, Obonogina left with his people and waded across the Sombreiro River, **moved and settled in the furthest part** of the wilderness for the purposes of fishing, farming, and hunting’ (Kari 1997:64)
- c. Single-marking *within* but not *between* SVCs  
 ... $\varnothing$ =dá réré fún dési=īn  $\varnothing$ =tá jzá=n...  
 [3SG=AUX walk ascend go.far=FAC]<sub>SVC1</sub> [3SG=go stay=FAC]<sub>SVC2</sub>  
 ‘...[moved]<sub>SVC1</sub> and [settled]<sub>SVC2</sub>...’
- [94] Overgenerates - Covert coordination and other conjoined clauses meet surface conditions (adjacency and featural identity) but DUI is ungrammatical
- [95] Further, under the DUI analysis the Degema single-marking pattern involves simultaneous backward deletion (deletion of material in the first conjunct) and forward deletion (deletion of material in the second conjunct) (Wilder 1995, 1997)
- a. They are distinct operations subject to different phonological, syntactic, and semantic conditions
- b. However, in Degema backward and forward deletion must take place simultaneously, and do not occur without each other
- c. Example below: proclitics share featural identity and the second proclitic appears at a conjunct boundary, identified as a common condition for DUI

[96] Ungrammatical forward deletion in the absence of backward deletion

- a. Mi=dúw=n                      óyi                      \*(**mj**)=tá=ān  
 1SG.SET2=follow=FAC    her/him                      \*(**1SG.SET2**)=go=FAC  
 ‘I went with her/him’ (E.E. Kari p.c., 2015 Nov 02)
- b. Tatane    o=kótú=n                      óyi                      \*(**o**)=kpéri=n                      ínúm  
 Tatane    3SG.SET2=call=FAC    him                      \*(**3SG.SET2**)=tell=FAC    something  
 ‘Tatane called him and told (him) something’ (E.E. Kari p.c., 2015 Nov 02)

**7 SUMMARY**

[97] Support for **Optimality Theoretic Distributed Morphology (OT-DM)**

[98] **Morphology-in-Parallel Hypothesis (MPH)**: the strongest form of this model is that all morphological operations take place in parallel

[99] Evidence from a **morphological conspiracy** in Degema involving distribution of verbal clitics in serial verb constructions

**8 REFERENCES**

[100] See full paper draft on my website:    linguistics.berkeley.edu/~nicholasrolle/

An OT-DM model

**9 APPENDIX – CONSTRAINT SET DEFINITIONS (SOLID LINES = ORDERED CONSTRAINT STRATA)**

Set #	Constraint	Definition	Function
Mark 1	1	V=WF_MWd(AGR <sub>sub</sub> ) For a MWd marked with label {Verb} ((V)), assign a violation if it is not marked with subject agreement	Verbal words have a proclitic
	2	V=WF_MWd(ASP) For a MWd marked with label {Verb} ((V)), assign a violation if it is not marked with aspect	Verbal words have a enclitic
	3	V1>V2 Under competition, the first MWd marked with label {Verb} ((V)) (defined linearly) bears inflection over the second MWd marked {V}	Mark the first verb with inflection over the second when you can't mark both
	4	MWd=PRWd A morphological word is a well-formed prosodic word	Clitics and light object pronouns incorporate into a surrounding word
	5	MWd <sub>{L,OBJ}</sub> For a MWd, assign a violation if a prosodically strong morpheme of category M does not project a morphological label [M]	Words containing a verb are labeled {V}, prosodically strong pronouns/nouns as {D}, etc.
	6	*COMPLEXMWd <sub>{L,OBJ}</sub> For a MWd, assign a violation if it is marked with more than one morphological label	Words with more than one lexical item are not labeled with both, i.e. *{V},{D}
	7	{V}>{D} Under competition, a MWd should be marked with label {Verb} ((V)) over a label {D}	Mark words with a verb with a {V} label over a {D} label when you can't have both
	8	ALIGN-/AGR <sub>sub</sub> /-L The left edge of an /agr <sub>sub</sub> / morpheme coincides with the left edge of a MWd	Agreement proclitics appear first in the word
	9	ALIGN-/ASP/-R The right edge of an /asp/ morpheme coincides with the right edge of a MWd	Aspect enclitics appear last in the word
	10	LINEARITYMAP-IO-LEX The hierarchical order of lexical constituents x° and y° in the input is reflected in the linear order of counterparts /x/ and /y/ in the output	Limits manipulating the expected linear order of lexical exponents (e.g. Vs, Pron, NS)
	11	DEP-IO(NODE) Morphemes in the output correspond to syntactic terminal heads in the input	Penalizes the insertion of dissociated nodes (e.g. Agr)
	12	*AGR <sub>SP</sub> Assign a violation for every instance of aspect agreement	Don't have aspect agreement
	13	*AGR <sub>sub</sub> Assign a violation for every instance of subject agreement	Don't have subject agreement
	14	MAP(WD_TYPE) Map a syntactic head x° which is not dominated by a head x° to a morphological word (MWd)	By default, syntactic words should correspond to morphological words
	15	LINEARITYMAP-IO-FNC The hierarchical order of a functional head x° with respect to any head y° in the input is reflected in the linear order of counterparts /x/ and /y/ in the output	Limits manipulating the expected linear order of functional head exponents (e.g. aspect)